

# PB

## RAW SEQUENCE LISTING ERROR REPORT

500i

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	<b>09</b> / 857 000
Source:	PC 709
Date Processed by STIC:	418102

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 3.1 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
- Hand Carry directly to:
   U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
   Or
  - U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
- 4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 09/85 1,000	
ATTN: NEW RULES CASES	: Please disregard english "alpha" headers, which were inserted by Pto software	
1Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."	
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.	
3Misaligned Amino Numbering	The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.	
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.	
5Variable Length	Sequence(s) 1 + Contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.	
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped	
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.	
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If Intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000	
9Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.	
10 V Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence	
1Use of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses.  Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)	
2PatentIn 2.0 ~ "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
3Misuse of n	n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.	

AMC/MH - Biotechnology Systems Branch - 08/21/2001



## **Dees Not Comply**Corrected Diskette Needed

RAW SEQUENCE LISTING DATE: 04/08/2002 PATENT APPLICATION: US/09/857,000 TIME: 13:59:24

Input Set : A:\Breese.txt

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Output Set: N:\CRF3\04082002\1857000.raw
      4 <110> APPLICANT: Philippe, CLAIR
              Michel, KACZOREK
              Jamal, TEMSAMANI
      8 <120> TITLE OF INVENTION: Peptides carrying substances across the blood brain barrier
     10 <130> FILE REFERENCE: 3373PCT/US
     12 <140> CURRENT APPLICATION NUMBER: US 09/857,000
C--> 13 <141> CURRENT FILING DATE: 2001-09-07
     15 <150> PRIOR APPLICATION NUMBER: FR98/15074
     16 <151> PRIOR FILING DATE: 1998-11-30
     18 <150> PRIOR APPLICATION NUMBER: PCT/FR99/02938
     19 <151> PRIOR FILING DATE: 1999-11-26
     21 <160> NUMBER OF SEQ ID NOS: 13
     22 <170> SOFTWARE: PatentIn version 3.1
                                                         The type of errors shown exist throughout
                                                        the Sequence Listing Please check subsequent
ERRORED SEQUENCES
                                                        sequences for similar errors.
     167 <210> SEQ ID NO: 9
                                            - modial response, see error summary
sheet, item 10
     168 <211> LENGTH: 18
                                              ener:
> places see error summery sheet item 5
     169 <212> TYPE: PRT
     170 <213> ORGANISM: (unidentified
W--> 171 <220> FEATURE:
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     173 <222> LOCATION: (1)..(1)
     174 <223> OTHER INFORMATION: (Groups B) may be identical or different, and represent a
natural or non-natural amino acid, including amino acid with D configuration and represent an
amino acid for which the side chain carries
W--> 176 <220> FEATURE:
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     178 <222> LOCATION: (2)..(2)
     179 <223> OTHER INFORMATION Groups X may be identical or different, and represent a
natural or non-natural amino acid, including amino acid with D configuration and represent a
residue of aliphatic or aromatic amino acid
W--> 182 <220> FEATURE:
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     189 <222> LOCATION: (4)..(4)
     190 <223> OTHER INFORMATION: Groups B) may be identical or different, and represent a
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natural or non-natural amino acid, including amino acid with D configuration and represent an

amino acid for which the side chain carries

W--> 192 <220> FEATURE:

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194 <222> LOCATION: (5)..(5)
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W--> 197 <220> FEATURE:

198 <221> NAME/KEY: MISC\_FEATURE

**RAW SEQUENCE LISTING**PATENT APPLICATION: **US/09/857,000**DATE: 04/08/2002

TIME: 13:59:25

Input Set : A:\Breese.txt

Output Set: N:\CRF3\04082002\I857000.raw

199 <222> LOCATION: (6)..(6)
200 <223> OTHER INFORMATION: Groups X) may be identical or different, and represent a
natural or non-natural amino acid, including amino acid with D configuration and represent a
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## W--> 202 <220> FEATURE:

- 203 <221> NAME/KEY: MISC\_FEATURE
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- 205 <223> OTHER INFORMATION: Groups X' may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent a residue of aliphatic or aromatic amino acid

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- 209 <222> LOCATION: (8)..(8)
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- 213 <221> NAME/KEY: MISC\_FEATURE
- 214 <222> LOCATION: (9)..(9)
- 215 <223> OTHER INFORMATION: Groups B may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent an amino acid for which the side chain carries

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- 219 <222> LOCATION: (10)..(10)
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- 223 <221> NAME/KEY: MISC\_FEATURE
- 224 <222> LOCATION: (11)..(11)
- 225 <223> OTHER INFORMATION: Groups B may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent an amino acid for which the side chain carries

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- 238 <222> LOCATION: (14)..(14)
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### W--> 246 <220> FEATURE:

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## W--> 256 <220> FEATURE:

- 257 <221> NAME/KEY: MISC\_FEATURE
- 258 <222> LOCATION: (18)..(18)

DATE: 04/08/2002 RAW SEQUENCE LISTING TIME: 13:59:25 PATENT APPLICATION: US/09/857,000 Input Set : A:\Breese.txt Output Set: N:\CRF3\04082002\1857000.raw 259 <223> OTHER INFORMATION: Groups B may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent an amino acid for which the side chain carries W--> 261 <220> FEATURE: 262 <221> NAME/KEY: PEPTIDE 263 <222> LOCATION: (1)..(18) 264 <223> OTHER INFORMATION: Peptide of formula (II) W--> 265 <400> SEQUENCE: 9 Boa is not a valid amino a cid designator 10 E--> 271 Xaa (Baa) 274 <210> SEQ ID NO: 10 -involid response (some error) 275 <211> LENGTH: 17 276 <212> TYPE: PRT 277 <213> ORGANISM: Unidentified W--> 278 <220> FEATURE: 279 <221> NAME/KEY: MISC\_FEATURE 280 <222> LOCATION: (1)..(1) 281 <223> OTHER INFORMATION: Groups  $\widehat{\mathbb{B}}$  may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent an amino acid for which the side chain carries W--> 283 <220> FEATURE: 284 <221> NAME/KEY: MISC\_FEATURE 285 <222> LOCATION: (2)..(2) 286 <223> OTHER INFORMATION: Groups X may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent a residue of aliphatic or aromatic amino acid W--> 288 <220> FEATURE: 289 <221> NAME/KEY: MISC\_FEATURE 290 <222> LOCATION: (3)..(3) 291 <223> OTHER INFORMATION: Groups X may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent a residue of aliphatic or aromatic amino acid W--> 293 <220> FEATURE: 294 <221> NAME/KEY: MISC\_FEATURE 295 <222> LOCATION: (4)..(4) 296 <223> OTHER INFORMATION: Groups X may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent a residue of aliphatic or aromatic amino acid W--> 298 <220> FEATURE: 299 <221> NAME/KEY: MISC\_FEATURE 300 <222> LOCATION: (5)..(5) 301 <223> OTHER INFORMATION: Froup's B may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent an amino acid for which the side chain carries

## W--> 303 <220> FEATURE:

268

- 304 <221> NAME/KEY: MISC\_FEATURE
- 305 <222> LOCATION: (6)..(6)
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## W--> 308 <220> FEATURE:

309 <221> NAME/KEY: MISC\_FEATURE

310 <222> LOCATION: (7)..(7)

311 <223 OTHER INFORMATION: croups X) may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent a residue of aliphatic or aromatic amino acid

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314 <221> NAME/KEY: MISC\_FEATURE

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316 <223> OTHER INFORMATION: Groups X may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent a residue of aliphatic or aromatic amino acid

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322 <222> LOCATION: (9)..(9)

323 <223> OTHER INFORMATION: Groups B may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent an amino acid for which the side chain carries

RAW SEQUENCE LISTING DATE: 04/08/2002 PATENT APPLICATION: US/09/857,000 TIME: 13:59:25

Input Set : A:\Breese.txt

Output Set: N:\CRF3\04082002\1857000.raw

## W--> 325 <220> FEATURE:

- 326 <221> NAME/KEY: MISC\_FEATURE
- 327 <222> LOCATION: (10)..(10)
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## W--> 330 <220> FEATURE:

- 331 <221> NAME/KEY: MISC\_FEATURE
- 332 <222> LOCATION: (11)..(11)
- 333 <223> OTHER INFORMATION: Croups X may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent a residue of aliphatic or aromatic amino acid

## W--> 335 <220> FEATURE:

- 336 <221> NAME/KEY: MISC\_FEATURE
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- 342 <222> LOCATION: (13)..(13)
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## W--> 345 <220> FEATURE:

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- 347 <222> LOCATION: (14)..(14)
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## W--> 355 <220> FEATURE:

- 356 <221> NAME/KEY: MISC\_FEATURE
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## W--> 360 <220> FEATURE:

- 361 <221> NAME/KEY: MISC\_FEATURE
- 362 <222> LOCATION: (17)..(17)
- 363 <223> OTHER INFORMATION: Froups B may be identical or different, and represent a natural or non-natural amino acid, including amino acid with D configuration and represent an amino acid for which the side chain carries

## W--> 364 <220> FEATURE:

- 365 <221> NAME/KEY: PEPTIDE
- 366 <222> LOCATION: (1)..(17)
- 367 <223> OTHER INFORMATION: Peptide of formula (III)

## W--> 368 <400> SEQUENCE: 10

VERIFICATION SUMMARY

DATE: 04/08/2002 PATENT APPLICATION: US/09/857,000 TIME: 13:59:26

Input Set : A:\Breese.txt

Output Set: N:\CRF3\04082002\I857000.raw

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